



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD AND DEVICE FOR PERCUTANEOUS SURGICAL VENTRICULAR REPAIR
Application Number:	10/790669
Confirmation Number:	7873
First Named Applicant:	Mitta Suresh
Attorney Docket Number:	5838-01801
Art Unit:	3731
Examiner:	Unknown Unknown
Search string:	( 2701559 or 3568659 or 3874388 or 3983863 or 4685446 or 4690134 or 4771765 or 4785795 or 4821723 or 4861330 or 4902273 or 4917089 or 4938231 or 4957477 or 4973300 or 5041130 or 5089005 or 5131905 or 5139517 or 5167628 or 5169378 or 5171299 or 5176619 or 5192314 or 5255678 or 5258000 or 5334146 or 5409000 or 5411527 or 5425744 or 5433727 or 5451235 or 5465717 or 5489296 or 5507811 or 5509428 or 5526810 or 5603337 or 5609157 or 5702343 or 5722401 or 5725552 or 5738626 or 5797960 or 5800528 or 5843177 or 5846260 or 5846261 or 5885228 or 5908445 or 5921935 or 5923770 or 5944738 or 5951543 or 5957977 or 5971911 or 6004329 or 6019739 or 6024096 or 6109852 or 6125852 or 6143012 or 6162168 or 6162537 or 6221104 or 6322588 or 6360749 or 6366684 or 6368356 or 6179791 or 6183411 or 6205411 or 6210338 or 6216043 or 6231601 or 6350281 or 6406420 or 6439237 or 6450171 or 5904680 or 6221104 or 6544167 or 6152144 or 6419669 or 6551303 or 6652556 or 6261832 or 6123715 or 5725552 or 5846261 or 5944738 or 4817637 or 5749839 or 5964806 or 6681773 or 6726696 or 5868779 or 5814098 or 6702763 or 20020026092 or 20020029783 or 20020056461 or 20030050659 or 20030045896 or 20030181940 or 20020133143 or 20020198603 or 20030163191 or 20030109770 or 20030105384 or 20030060674 or 20030158570 or 20030050685 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MCF	1	2701559	1955-02-08	Cooper			
	2	3568659	1971-03-09	Karnegis			
	3	3874388	1975-04-01	King et al.			
	4	3983863	1976-10-05	Janke et al.			
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	11	4902273	1990-02-20	Choy et al.			
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	14	4957477	1990-09-18	Lundback			
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	16	5041130	1991-08-20	Cosgrove et al.			
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	25	5255678	1993-10-26	Deslauriers et al.			
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	28	5409000	1995-04-25	Imran			
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▼	31	5433727	1995-07-18	Sideris			
MCF	32	5451235	1995-08-19	Lock et al.			

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	38	5603337	1997-02-18	Jarvik
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	44	5797960	1998-08-25	Stevens et al.
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	65	6221104	2001-04-24	Buckberg et al.
	66	6322588	2001-11-27	Ogle et al.
▼	67	6360749	2002-03-26	Jayaraman
MCF	68	6366684	2002-04-02	Gerard et al.

MCF	69	6368356	2002-04-09	Zhong et al.	
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	71	6183411	2001-02-06	Mortier et al.	B1
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	76	6350281	2002-02-26	Rhee	B1
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	78	6439237	2002-08-27	Buckberg et al.	B1
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	97	5868779	1999-02-09	Ruiz	
▼	98	5814098	1998-09-29	Hinnenkamp et al.	
MCF	99	6702763	2004-03-09	Murphy et al.	

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass

MCF	1	20020026092	2002-02-28	Buckberg et al.
	2	20020029783	2002-03-14	Stevens et al.
	3	20020056461	2002-05-16	Jayaraman
	4	20030050659	2003-03-13	Murphy et al.
	5	20030045896	2003-03-06	Murphy et al.
	6	20030181940	2003-09-25	Murphy et al.
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	8	20020198603	2002-12-26	Buckberg et al.
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	10	20030109770	2003-06-12	Sharkey et al.
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	12	20030060674	2003-03-27	Gifford, III et al.
▼	13	20030158570	2003-08-21	Ferrazzi
MCF	14	20030050685	2003-03-13	Nikolic et al.

### Remarks

Note: Remarks are not for responding to an office action.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert and Goetzel, P.C. Deposit Account No. 50-1505/5838-01801/EBM.

### Signature

Examiner Name	Date
/Marlee Foster/	10/27/2006

<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5838-01801 APPLICANT: Murphy et al. FILING DATE: March 1, 2004			SERIAL NO. 10/790,669 GROUP: 3731		
<b>U.S. PATENT DOCUMENTS</b>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
		JUL 26 2004 PATENT & TRADEMARK OFFICE						
<b>FOREIGN PATENT DOCUMENTS</b>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
MCA	AA1	99/56655	11/11/1999	WO				
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
MCF	AA2	International Search Report PCT/US02/16304 mailed August 22, 2002						
	AA3	Marisa Di Donato et al., "Effects of the Dor Procedure on Left Ventricular Dimension and Shape and Geometric Correlates of Mitral Regurgitation One year After Surgery" <i>The Journal of Thoracic and Cardiovascular Surgery</i> , 2001, Jan., 91-96.						
	AA4	Hisayoshi Suma et al., "Nontransplant Cardiac Surgery For End-Stage Cardiomyopathy" <i>The Journal of Thoracic and Cardiovascular Surgery</i> , 2000, June, 1233-1245.						
	AA5	Rufus Baretta et al., "Batista Procedure: Elliptical Modeling Against Spherical Distention" <i>European Journal of Cardio-Thoracic Surgery</i> , 2000, 17, 52-57.						
	AA6	Randas Batista, "Partial Left Ventriculectomy - The Batista Procedure" <i>European Journal of Cardio-Thoracic Surgery</i> , 1999, 15, S12-S19.						
	AA7	Gerald D. Buckberg, M.D., "Commonality of Ischemic and Dilated Cardiomyopathy: Laplace and Ventricular Restoration" <i>The UCLA Medical Center, Department of Surgery, Los Angeles, California</i> , 1999, 53-59.						
	AA8	F. Fantini et al., "Effects of Reconstructive Surgery For Left Ventricular Anterior Aneurysm on Ventriculoarterial Coupling" <i>Heart</i> , 1999, 81, 171-176.						
	AA9	V. Dor et al., "Endoventricular Patch Reconstruction in Large Ischemic Wall-Motion Abnormalities" <i>J. Card Surg</i> , 1999, 14, 46-52.						
	AA10	Randall C. Starling and Patrick M. McCarthy, "Partial Left Ventriculectomy: Sunrise or Sunset?" <i>European Journal of Heart Failure</i> , 1999, 1, 313-317.						
	AA11	V. Dor et al., "Endoventricular Patch Plasty for Large L.V. Akinesia" Videotape from <i>Centre de Cardio-Thoracique de Monaco</i> , 1998, Sept.						
	AA12	Gerald D. Buckberg, M.D., "Surgery for Adult Cardiovascular Disease: Editorial: Defining the Relationship Between Akinesia and Dyskinesia and the Cause of Left Ventricular Failure After Anterior Infarction and Reversal of Remodeling to Restoration" 1998, 116, 47-49.						
	AA13	Sakamoto et al. "Restoring the Remodeled Enlarged Left Ventricle: Experimental Benefits of In Vivo Porcine Cardioreduction in the Beating Open Heart" <i>Department of Cardiology, UCLS School of Medicine</i> , 1998, 429-439.						
MCF	AA14	Athanasuleas, M.D. et al., "Restoration of Contractile Function in the Enlarged Left Ventricle by Exclusion of Remodeled Akinetic Anterior Segment: Surgical Strategy, Myocardial Protection, and Angiographic Results" <i>Journal of Cardiovascular Surgery</i> , 1998, 418-428.						

EXAMINER: /Marlee Foster/

DATE CONSIDERED: 10/27/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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### U.S. PATENT DOCUMENTS

EXAM. INITIALS.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		JUL 26 2004 PATENT & TRADEMARK OFFICE					

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

MCF	AA15	V.Dor, M.D. et al. "Endoventricular Patch Plastics with Septal Exclusion for Repair of Ischemic Left Ventricle: Technique, Results and Indications from A Series of 781 Cases" <i>The Japanese Journal of Thoracic and Cardiovascular Surgery</i> , 1998, 389-398.
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	AA17	Di Donato, M.D. et al., "Akinetic Versus Dyskinetic Postinfarction Scar: Relation to Surgical Outcome in Patients Undergoing Endoventricular Circular Patch Plasty Repair" <i>Journal of American College of Cardiology</i> , 1997, 29, 1569-1575.
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	AA19	Vincent Dor, "Reconstructive left Ventricular Surgery for Post-Ischemic Akinetic Dilation" <i>Seminars in Thoracic and Cardiovascular Surgery</i> , 1997, 9, 2, April, 139-145.
	AA20	V. Dor, "Left ventricular restoration by endoventricular circular patch plasty (EVCPP)" <i>Z. Kardiol</i> , 2000, Vol. 89, Suppl. 7, p. VII/70 - VII/75.
	AA21	James L. Cox, Vincent Dor, "Surgical Management of Left Ventricular Aneurysms: A Clarification of the Similarities and Differences Between the Jatene and Dor Techniques" <i>Seminars in Thoracic and Cardiovascular Surgery</i> , 1997, 9, 2, April, 131-138.
	AA22	Di Donato et al., "Outcome of Left Ventricular Aneurysmectomy with Patch Repair in Patients with Severely Depressed Pump Function" <i>The American Journal of Cardiology</i> , 1995, 76, Sept. 15, 557-561.
	AA23	V. Dor et al., "Surgery For Acquired Heart Disease, Late Hemodynamic Results After Left Ventricular Patch Repair Associated with Coronary Grafting in Patients with Postinfarction akinetic or Dyskinetic Aneurysm of the Left Ventricle" <i>The Journal of Thoracic and Cardiovascular Surgery</i> , 1995, Nov., 1291-1301.
	AA24	Elefteriades et al., "Left Ventricular Aneurysmectomy in Advanced Left Ventricular Dysfunction" <i>Cardiology Clinics</i> , 1995, 13, 1, Feb., 59-72.
	AA25	V. Dor et al., "Left Ventricular Shape Changes Induced by Aneurysmectomy with Endoventricular Circular Patch Plasty Reconstruction" <i>The European Society of Cardiology</i> , 1994, 1063-1069.
↓	AA26	Cooley, M.D. et al., "Intracavitary Repair of Ventricular Aneurysm and Regional Dyskinesia" Departments of Cardiovascular Surgery and Cardiology, Texas Heart Institute, Houston, TX, May 1992, 417-424-890.
MCF	AA27	Di Donato et al., "Early Hemodynamic Results of Left Ventricular Reconstructive Surgery for Anterior Walls Left Ventricular Aneurysm" <i>The American Journal of Cardiology</i> , 69, April 1, 1992, 886-890.

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### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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	AA29	Denton A. Cooley, M.D., "Ventricular Endoaneurysmorrhaphy: A Simplified Repair for Extensive Postinfarction Aneurysm" <i>Journal of Cardiac Surgery</i> , 1989, 4, 3, 200-205.
	AA30	V. Dor et al., "Left Ventricular Aneurysm: A New Surgical Approach" <i>Thorac. Cardiovasc. Surgeon</i> , 37, June 16, 1989, 11-19.
	AA31	T. Shiga et al. "Deformation of Polyelectrolyte Gels under the Influence of Electric Field" <i>Journal of Applied Polymer Science</i> , 1990, 39, 2305.
	AA32	J. Bohm et al. "Endoventricular Patch Plasty for Restoration of Ventricular Geometry and Pump Function in Ventricular Aneurysm" <i>Z. Kardiol</i> , 1996, 85, Supplement No. 4, 43-46.
	AA33	A. D. Jatene, "Left Ventricular aneurysmectomy: Resection or reconstruction" <i>The Journal of Thoracic and Cardiovascular Surgery</i> , 1985, 89, 321-331.
	AA34	K. Emmrich, "Contribution to the Discussion of the Lecture by J. Bohm, Berlin" <i>Z. Kardiol</i> , 1996, 85, Supplement No. 4, 47-48.
	AA35	T. Kono et al., "Left Ventricular Shape Is the Primary Determinant of Functional Mitral Regurgitation in Heart Failure" <i>JACC</i> , Dec. 1992, Vol. 20, No. 7, p. 1594-1598.
	AA36	G. E. Burch et al., "Angle of traction of the papillary muscle in normal and dilated hearts: A theoretic analysis of its importance in mitral valve dynamics" <i>American Heart Journal</i> , July 1972, Vol. 84, No. 1, p. 141-144.
	AA37	G. D. Buckberg, "Congestive Heart Failure: Treat the Disease, Not the Symptom—Return to Normalcy" <i>J Thorac Cardiovasc Surg</i> , 2001, Vol. 121, No. 4, p. 628-637.
↓	AA38	C. L. Anthanasiu et al., "Surgical Anterior Ventricular Endocardial Restoration (SAVER) in the Dilated Remodeled Ventricle After Anterior Myocardial Infarction" <i>Journal of the American College of Cardiology</i> , 2001, Vol. 37, No. 5, p. 1199-1209.
MCF	AA39	R. E. Michler et al., "Minimally Invasive Mitral Valve Replacement and Multivessel Coronary Artery Bypass Through a Limited Right Lateral Thoracotomy using a Balloon Aortic Cannula" <i>The Heart Surgery Forum</i> , 2001, Vol. 5, No. 1, p. 49-51.

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DATE CONSIDERED: 10/27/2006

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
MCF	AA40	95/18593	7/13/1995	WO			
MCF	AA41	2107467	3/27/1998	RU			
MCF	AA42	29911694	8/26/1999	DE			

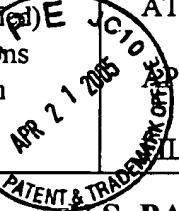
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MCF	AA44	Di Donato, M. et al. "Regional Myocardial performance of non-ischaemic zones remote from anterior wall left ventricular aneurysm - Effects of aneurysmectomy", European Heart Journal, (1995) 16, 1285-1292.
MCF	AA45	Vincent Dor, "Left Ventricular Aneurysms: The Endoventricular Circular Patch Plasty" Seminars in Thoracic and Cardiovascular Surgery, 1997, 9, 2, April, 123-130.

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 <b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
MCF		6099832	8/8/2000	Mickle et al.			
MCF		5632776	5/27/1997	Kurumatani et al.			
MCF		6852076	2/8/2005	Nikolic et al.			
<b>U.S. PUBLISHED APPLICATIONS</b>							
EXAM. INITIALS	REF. DES	PUBLICATION NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
MCF		20030192561	10/16/2003	Murphy et al.			
MCF		20040249408	12/9/2004	Murphy et al.			
MCF		20030050682 A1	3/13/2003	Sharkey et al.			
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EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO
MCF	BB1	99/03973	1/28/1999	WO			
	BB2	02/19917 A1	3/14/2002	WO			
	BB3	03/026484 A2	4/3/2003	WO			
	BB4	03/026485 A2	4/3/2003	WO			
	BB5	03/090716 A1	11/6/2003	WO			
↓	BB6	04/012629 A1	2/12/2004	WO			
MCF	BB7	02/094136 A1	11/28/2002	WO			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
MCF	BB8	Interview Summary for U.S. Application No. 09/864,794 mailed on July 14, 2003 (Atty. Docket No.: 5838-00900).					
	BB9	Office Action for U.S. Application No. 09/864,510 mailed on November 8, 2002 (Atty. Docket No.: 5838-00300).					
	BB10	Office Action for U.S. Application No. 09/864,510 mailed on May 7, 2003 (Atty. Docket No.: 5838-00300).					
	BB11	Office Action for U.S. Application No. 09/864,510 mailed on February 19, 2004 (Atty. Docket No.: 5838-00300).					
↓	BB12	Interview Summary for U.S. Application No. 09/864,510 mailed on March 17, 2004 (Atty. Docket No.: 5838-00300).					
↓	BB13	Office Action for U.S. Application No. 09/864,510 mailed on August 26, 2004 (Atty. Docket No.: 5838-00300).					
MCF	BB14	Advisory Action for U.S. Application No. 09/864,510 mailed on December 3, 2004 (Atty. Docket No.: 5838-00300).					
EXAMINER: /Marlee Foster/			DATE CONSIDERED: 10/27/2006				
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<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DOCKET NO: 5838-01801 APPLICANT: Murphy et al. FILING DATE: March 1, 2004		SERIAL NO: 10/790,669 ART UNIT: 3731 CONFIRM. NO.: 7873		
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
<b>U.S. PUBLISHED APPLICATIONS</b>							
EXAM. INITIALS	REF. DES	PUBLICATION NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
MCF	BB15	Written Opinion for PCT/US02/16304 mailed May 27, 2003 (Atty. Docket No.: 5838-00901).					
	BB16	International Preliminary Examination Report for PCT/US02/16304 mailed July 15, 2004 (Atty. Docket No.: 5838-00901).					
	BB17	Office Action for U.S. Application No. 10/210,737 mailed on June 30, 2004 (Atty. Docket No.: 5838-01500).					
	BB18	International Search Report and Written Opinion for PCT/US04/06061 mailed October 8, 2004 (Atty. Docket No.: 5838-01802).					
	BB19	Office Action for U.S. Application No. 09/864,510 mailed on March 23, 2005 (Atty. Docket No.: 5838-00300).					
↓	BB20	International Preliminary Examination Report for PCT/US02/16304 mailed March 29, 2005 (Atty. Docket No.: 5838-00901).					
MCF	BB21	International Preliminary Report on Patentability for PCT/US04/06061 mailed April 1, 2005 (Atty. Docket No.: 5838-01802).					
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